

APPROVED
at a meeting of the
Scientific Council
NJSC «Al-Farabi
KazNU».
Minutes No.10 dated
May 13, 2023.

The program of the entrance exam for applicants to the PhD
for the group of educational programs
D153 – «Archeology and ethnology»

1. General provisions.

1. The program was drawn up in accordance with the Order of the Minister of Education and Science of the Republic of Kazakhstan dated October 31, 2018 No. 600 “On Approval of the Model Rules for Admission to Education in Educational Organizations Implementing Educational Programs of Higher and Postgraduate Education” (hereinafter referred to as the Model Rules).

2. The entrance exam for doctoral studies consists of writing an essay, passing a test for readiness for doctoral studies (hereinafter referred to as TRDS), an exam in the profile of a group of educational programs and an interview.

Block	Points
1. Essay	10
2. Test for readiness for doctoral studies	30
3. Exam according to the profile of the group of the educational program	40
4. Interview	20
Total admission score	100/75

3. The duration of the entrance exam is 4 hours, during which the applicant writes an essay, passes a test for readiness for doctoral studies, and answers an electronic examination. The interview is conducted on the basis of the university before the entrance exam.

2. Procedure for the entrance examination.

1. Applicants for doctoral studies in the group of educational programs D153 – «Archeology and ethnology» write a problematic / thematic essay. The volume of the essay is at least 250-300 words.

2. The electronic examination card consists of 3 questions.

Topics for exam preparation according to the profile of the group of the educational program.

Discipline "Organization and planning of scientific research"

Topic 1. Organization of scientific activity.

Subtopics Research Stages - Planning, Organization and Implementation. Methods for conducting theoretical and empirical research.

Topic 2. Literary research.

Subtopics. Search systems and databases of scientific and technical information.

Topic 3. Development of a research program, methodological and procedural sections of the study.

Subtopics. Planning and execution of an experimental (empirical) study. Statistical data processing.

Topic 4. Writing, design and defense of scientific works.

Subtopics. Structure of scientific work. Features of the language and style of presentation of scientific research.

Discipline "Theoretical and methodological problems of archeology"

Topic 1. Theoretical directions of archeology in the system of humanitarian knowledge.

The process of development and formation of archaeological terminology. Formation of the term "archaeological culture". The use of natural science methods in archeology. Fundamental problems of world archeology. Use of linguistic and anthropological sources in archeology.

Topic 2. The newest discoveries of monuments of the Stone Age during the years of independence.

General characteristics of the Stone Age in Kazakhstan. Stone Age of East, Central, South and West Kazakhstan. Characteristics of material culture. Reconstruction and interpretation of Stone Age monuments.

Topic 3. Bronze Age.

General characteristics of the Bronze Age. Problems of the historiography of the Bronze Age in Central Asia and Kazakhstan. Fundamental Problems of the Bronze Age. Andronovo cultural and historical community.

Topic 4. Early Iron Age.

General characteristics. The change of the Bronze Age to the Iron Age: the geography of the process. The main consequences of the development of iron. Scientific theories on the development of iron. The concept of the term "early nomads". Problems of periodization of the Early Iron Age of Eurasia.

Topic 5. Fundamental questions of nomadic civilization.

The concept of "nomadic civilization". History of the study of nomadic civilization (Shakhmatov, Tolybekov, Khazanov, Masanov, etc.). Types and forms of nomadic civilization. Economic and cultural type. The origin of nomadism. Early nomads and their relationship to settled cultures. The contribution of nomads to the world civilization.

Topic 6. Nodal problems of the Saka-Scythian cultural and historical community of Eurasia.

Sako-Scythian cultural and historical community. The problem of the origin of the Scythians, Saks. A brief overview of the history of Scythian and Saka studies. The area of distribution of the Scythian culture. Iconic monuments of the Scythian culture of the Black Sea region, the Dnieper region, and the Altai.

Topic 7. The main problems of the archeology of the Saks and Usuns of Kazakhstan.

Localization of Saka tribes. Elite Saka monuments of Altai, Tarbagatai, Zhetysu, Central, Northern, Southern and Western Kazakhstan. Periodization of Saka culture. The problem of the origin of the Saka-Scythian animal style. The problem of "golden people" in the Saka culture. Sources on the history of the Usuns. Archaeological culture of Wusun. Art and religion.

Topic 8. Theoretical and methodological problems of the Hunno-Sarmatian period in archeology.

Questions of chronology of the Hunno-Sarmatian period. The problem of the origin of the Huns and Sarmatians. Proto-cities of the Hunnic period. Localization of Sarmatian tribes. Araltobe "golden man". "The Great Migration of Peoples".

Topic 9. Ancient Türkic genealogical legends and archaeological monuments of the Early Türkic time.

Data from written and archaeological sources. The problem of the origin of the Turks. Ethnonyms Türk, Ashina. Types of archaeological monuments of the ancient Turkic era: burial structures, memorial complexes, rock carvings. Chronology and periodization.

Topic 10. The era of the developed Middle Ages: problems and historiography.

Mongol conquest. Ulus Zhoshy. Kok-Orda and Ak-Orda. Eastern Desht-i Kypchak in the XIV - early XV centuries. The beginning of the Kazakh statehood. Formation of the Kazakh Khanate. Theoretical problems of the final stage of the ethnogenesis of the Kazakhs. Political prerequisites for the consolidation of the Kazakh people. The problem of the origin of the Kazakh zhuzes.

Topic 11. Topical issues of studying the material and spiritual culture of the Turks.

Interaction of two economic and cultural types: sedentary and nomadic ("city-steppe" system). Ancient Türkic runic monuments in honor of the first Türkic rulers (Bumyn-Kagan, Istemi-Kagan, Kultegin, etc.). Ancient Türkic pantheon of deities (Tengri, Umai, Yer-Su, etc.). The beginning of the Islamization of the Turkic tribes. The heritage of the ancient Turkic culture.

Topic 12. Problems of medieval cities and settlements.

Problems of natural-geographical and historical-cultural zoning of medieval cities and settlements in Kazakhstan. Problems of historical topography of medieval settlements and cities of Kazakhstan.

Topic 13. The Great Silk Road.

Directions of the Great Silk Road. Caravan routes of ancient and medieval Kazakhstan. Urban culture on the Great Silk Road.

3. List of references.

Main:

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Discipline «Ethno-cultural processes in the ancient and medieval Kazakhstan»

Topic 1. Sources of source studies and historiography in the study of peoples and tribes of Kazakhstan and adjacent territories. Written data of Ancient Greek, Ancient Persian and Ancient China on the population on the territory of Kazakhstan in the Hunno-Sarmatian period. Etymology of tribal associations and unions. Chronological boundaries of the Hunno-Sarmatian epoch.

Topic 2. The emergence and arrangement of early class tribal societies. Ethnolinguistic situation. Anthropology of the Hunno-Sarmatian epoch. The degree of study of the Hunno-Sarmatian period and current problems of historiography of Early Tagil societies. Ethno-cultural ties.

Topic 3. The origin of the Sarmatian tribes. Location. Early Sarmatian (Prokhorov) culture. Middle Sarmatian (Suslov) culture. Late Sarmatian culture. Archaeological monuments of the Hunno-Sarmatian period of Western Kazakhstan. The Hunno-Sarmatian epoch of Northern Kazakhstan. Archaeological monuments of the Sarmatian era of Central Kazakhstan. Ethnogenesis of the tribes of Southern and Eastern Kazakhstan (in the Hunno-Sarmatian period).

Topic 4. The emergence and formation of the tribes of the Huns. Formation and location of the Gun association. Ethnocultural processes on the territory of Kazakhstan during the period of the Huns. Gundardyn farms. Natural and spiritual culture of the early class Gong society. Social structure. Funerary tradition and the structure of the Gun culture.

Archaeological monuments of the Gong epoch on the territory of Kazakhstan. Chronological range of the Gun culture based on the materials of Kazakhstan and Central Asia.

Topic 5. Ethnic processes in the formation of Uysuns. The territory of origin and settlement of ancient barbels. Economy, life, household. The socio-social structure of the Uysun tribes. Archaeological monuments of the Usun period in the territory of Kazakhstan. Socio-economic relations of the Uysun tribes with neighboring states.

Topic 6. Isolation and ethnic composition of the Kanli State. The social social structure of blood tribes. Relations with neighboring States. Economy and life of the blood. Natural and spiritual culture of blood tribes. Archaeological sites: settlements and burial mounds. The archaeological culture of kauynshy. Otrar-Karatau archaeological culture. Semiasarian archaeological culture. Aktobe settlement, Kok-Mardan settlement, Pshuk-Mardan, Kostobe, Dzhetyasar settlement, Shaushukum molaly, Zhaman-Togai, Torebai-Tumsyk, Kok-Mardan, Kyr-Kesken, Mardan, Mardan-Kuik (Konyr-Tobe).

Topic 7. Yuezhi tribes in the ethno-cultural process of Kazakhstan. The ethnonym of Yuezhi. Origin and habitat. The social structure of the Yuezhi tribes. Economy, life, natural and spiritual culture of the early Yuezhi tribal society. Socio-economic relations with neighboring States and tribes. Archaeological monuments of the Yuezhi-Sarmatian period of Western Kazakhstan. Yuezhi monuments on the territory of Zhetysu. Topical issues and questions on the Yuezhi tribes that inhabited the territory of Kazakhstan and neighboring states.

Discipline «**Theoretical and methodological problems of ethnology**»

Topic 1. Ethnology as a science and academic discipline

Subtopics: Formation of ethnology as a science; Ethnology in the system of scientific knowledge; Functions of ethnology

Topic 2. Ethnos and ethnicity, Ethnogenesis and ethnic history of peoples

Subtopics: Basic theories of ethnos; Gumilyov's Theory of Ethnogenesis;

Topic 3. Main schools and trends in ethnology

Subtopics: Evolutionism; Diffusionism, the Sociological School; The American School of Historical Ethnology;

Topic 4. Ethnic history and ethnogenesis

Subtopics: Ethnogenesis and its main forms; Types of ethnogenetic processes.

Topic 5. Ethnic culture; Ethnos and culture

Subtopics: Culture and its ethnic functions, the essence of ethnic culture, Ethnic culture and the culture of an ethnic group;

Topic 6. Interethnic relations; Interethnic conflicts and ways to resolve them

Subtopics: The essence of interethnic relations; Factors of interethnic relations; Ethnic contacts and their results, Types of interethnic relations, Theories of interethnic interaction; Dynamics and typology of ethnic conflicts, Ways of resolving interethnic conflicts.

Topic 7. Theoretical and methodological problems of the Kazakh Diaspora and repatriates

Subtopics: Theoretical aspects of the problem of the Kazakh Diaspora and repatriates; Historical destinies of the Kazakh Diaspora; Preservation and transformation of the cultures of the Kazakh Diaspora. The return of the repatriates and their adaptation in their historical Homeland.

Topic 8: Ethnodemography and migration processes in Kazakhstan

Subtopics: Ethnodemographic situations of independent Kazakhstan; Migration processes of Kazakhstan. Migration of immigrants.

Topic 9. History of Kazakhs ' research

Subtopics: The history of the study of the Kazakhs in pre-revolutionary times in Russian and Domestic historiography. History of the study of the Kazakhs in the Soviet era in Russian and Domestic historiography.

Topic 10. Fundamental problems of ethnogenesis and ethnic history of the Kazakhs.

Subtopics: Key problems of ethnogenesis and ethnic history of the Kazakhs; The main stages of the formation of the Kazakh people.

Topic 11. Economy of the Kazakhs; Material culture of the Kazakhs

Subtopics: Economic and cultural types of Kazakhs; Types and system of management of Kazakhs' economy; Material culture. Applied art.

Topic 12. Ethnic psychology; Traditional culture and modernization, Spiritual revival

Subtopics: Ethnic identity, Ethnic identity, Types of ethnic identity

Topic 13. Ethnic and ethno-cultural processes in the Soviet period and modern Kazakhstan Sub-topics: Ethnic and ethno-cultural processes in the Soviet period; Ethnic and ethno-cultural processes in independent Kazakhstan; Ethno-demographic processes in Kazakhstan; Interethnic and interfaith processes in Kazakhstan. Language and culture.

Topic 14. Theoretical aspects of ethnopolitics. Ethnos and politics. Ethnopolitics of the Republic of Kazakhstan.

Subtopics: Ethnopolitics of the Republic of Kazakhstan, ethnic identity, interethnic tolerance and social harmony. Socio-psychological, ethno-cultural and religious aspects of ethnic minorities in Kazakhstan.

Topic 15. History of the study of Kazakh ethnography

Subtopics: Ethnographic study of Kazakh ethnography before the Soviet period., History of research of Kazakh ethnography Soviet time. The study of the Kazakh ethnography of domestic historians.

Topic 16. Kazakh worldview, traditional medicine and veterinary medicine

Subtopics: Traditional worldview of the Kazakhs, Traditional medicine in the traditional knowledge of the Kazakhs.

Topic 17. Family and marriage of Kazakhs.

Subtopics: Traditional family marriage relations; Preservation and transformation of tradition.

3. List of references.

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Additional:

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Discipline «Ethnic and Ethno-cultural Processes in Modern Kazakhstan»

Topic 1. Ethnic processes on the Kazakh land in the XIII century. Ethnic processes on the Kazakh land in the 1st half of the XIX century. Results of the 1874 census Features of the population census of 1897 In Kazakhstan.

Topic 2. Migration processes in the 2nd half of the XIX century. XIX century. Migration processes in the 2nd half. Ethnic processes in the 2nd quarter of the nineteenth century. Resettlement of Uighurs and Dungans to Kazakhstan.

Topic 3. The dynamics of the growth of the peoples of Kazakhstan in the 1st half of the twentieth century. Indicators of the population of Kazakhstan in the 2nd half of the twentieth century. 1999-ies. Demographic indicators of the peoples of Kazakhstan. The first Soviet censuses. Rurbanization.

Topic 4. The dynamics of the growth of the peoples of Kazakhstan in the 2nd half of the twentieth century. The dynamics of the growth of the peoples of Kazakhstan in the 2nd half of the twentieth century. 2nd half of the twentieth century. Quantitative contribution of the peoples of Kazakhstan. The average quantitative composition of the population of the Republic of Kazakhstan.

Topic 5. Socio-demographic trends in Kazakhstan. Socio-demographic trends in Kazakhstan. The problem of diasporology. Ethnic composition of the population in Kazakhstan. Disproportion.

Topic 6. Demography of the Kazakh diaspora at the present stage. The problem of diasporology and the course of its study. Demographic indicators of the peoples of Kazakhstan in recent years. National composition. The location of the peoples.

Topic 7. Internal and external migration at the present stage. Internal and external migration at the present stage. The refugee problem. The process of urbanization and labor migration.

Topic 8. Ethnic composition of Kazakhstan at the present stage, ethno-cultural centers. Assembly of People of Kazakhstan. Religious views of the peoples of Kazakhstan in the course of ethno-cultural processes in the modern world. List of literature.

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